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\* Admitted in NY only  
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August 6, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/667,569  
Title: *Methods and Microorganisms for Production of Panto-Compounds*  
Filed: September 21, 2000  
Inventors: R. Rogers Yocum, et al.  
Attorney Docket No. BGI-141CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Nonprovisional Application  
(in duplicate);
2. Executed Declaration, Petition and Power of Attorney for Patent Application  
(12 pages)
3. Check in amount of \$4,494.00 (710.00 basic filing fee; \$3,654.00 additional  
claims fee and \$130.00 surcharge);
4. Transmittal letter of Diskette containing Sequence Listing;
5. Papercopy of Sequence Listing;
6. Diskette containing Sequence Listing;
7. Copy of the Formalities Letter and;
8. Return postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned  
requests any extensions of time necessary to respond. *A duplicate of this sheet is enclosed.*

I hereby certify that this correspondence is deposited with  
the United States Postal Service as first class mail in an  
envelope addressed to: Commissioner for Patents, Box  
Missing Parts, Washington, DC 20231 on:

August 6, 2001

Date

Debra J. Milasincic, Reg. No. 46,931

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Debra J. Milasincic  
Reg. No. 46,931



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re the application of:** R. Rogers Yocum *et al.*

**Serial No.:** 09/667,569

**Filed:** September 21, 2000

**For:** *Methods and Microorganisms for  
Production of Panto-Compounds*

**Attorney Docket No.:** BGI-141CP

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

August 6, 2001

Date of Signature and of Mail Deposit

By:

Debra J. Milasincic

Reg. No. 46,931

Agent for Applicants

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION**

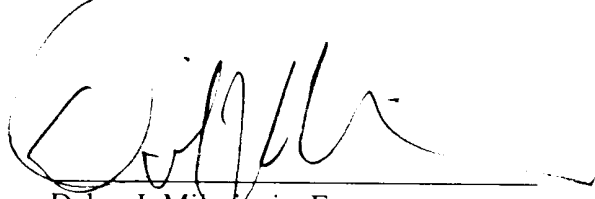
Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated June 5, 2001, Applicants' agent submits herewith the executed Declaration, Petition and Power of Attorney for the above-identified patent application. A check in the amount of **\$4,494.00** is enclosed to cover the total amount owed by Applicant including \$130.00 surcharge, \$710.00 basic filing fee, \$3,654.00 additional claim fee. A copy of the Formalities Letter is also enclosed.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Respectfully submitted,

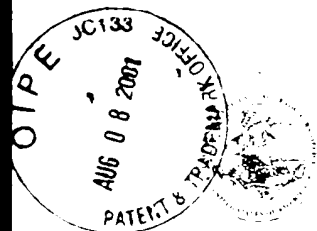
A handwritten signature in black ink, appearing to read 'Debra J. Milasincic', written over a horizontal line.

Debra J. Milasincic, Esq.  
Registration No. 46,931  
Agent for Applicants

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400

Dated: August 6, 2001

DOCKETED



Aug 5, 2001 - Missing Parts &amp; Surcharge

Jan 5, 2002 - ESP W 5EXT

+ Seq. Listing

COMMISSIONER FOR PATENTS  
 U.S. PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20503  
 WWW.USPTO.GOV

APPLICATION NUMBER	FILED RECEIPT DATE	FIRST NAME, APPLICANT	ATTORNEY'S FIRM NUMBER
09/667,569	09/21/2000	R. Rogers Yocum	BBI-141CP

CONFIRMATION NO. 8755

## FORMALITIES LETTER



\*OC000000006147083\*

000959  
 LAHIVE & COCKFIELD  
 28 STATE STREET  
 BOSTON, MA 02109

Date Mailed: 06/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$3674.
  - \$1152 for 64 total claims over 20.
  - \$2262 for 29 independent claims over 3.
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4494.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

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- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY